

SITECH.017CP1CP



PATENT

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Chih-Hsing Cheng et al.

Group Art Unit 1755

Appl. No. : 10/771,176

Certificate of Mailing

Filed : February 3, 2004

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Office of Initial Patent Examination, Customer Service Center, Alexandria, VA 22313-1450, on

For : SOL-GEL-DERIVED  
HALOGEN-DOPED  
GLASS

Examiner : Unknown

8/20/04

(Date)

Bruce S. Itchkawitz, Reg. No. 47,671

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Office of Initial Patent Examination  
Customer Service Center  
Alexandria, VA 22313-1450

RECEIVED  
AUG 26 2004  
OIPE/JCWS

Dear Sir:

Applicants hereby request that the Updated Filing Receipt of the present application be corrected to reflect the claim of benefit to U.S. Provisional Application No. 60/541,155, filed on February 2, 2004, as shown on the Preliminary Amendment mailed April 2, 2004, a copy of which is enclosed. The Filing Receipt omits the claim of priority to the provisional application in the Domestic Priority data section.

This Second Request is being filed after Applicants' receipt of a Response to Request for Corrected Filing Receipt. This Response instructed Applicants that "a claim for priority cannot be made based on an application filed after the application making the claim."

Applicants submit that the provisional application was filed prior to the filing of the present application. U.S. Provisional Application No. 60/541,155 has a filing date of February 2, 2004, as evidenced by its Filing Receipt, a copy of which is enclosed. The present application,

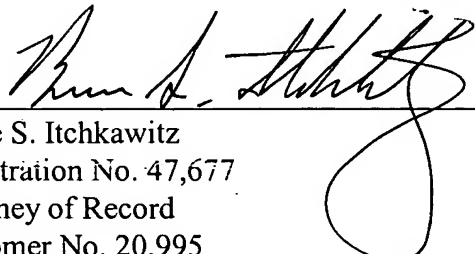
**Appl. No.** : 10/771,176  
**Filed** : February 3, 2004

U.S. Patent Application No. 10/771,176, has a filing date of February 3, 2004, as evidenced by its Filing Receipt, a copy of which is enclosed. Thus, the provisional application was filed one day prior to the filing of the present application, so the claim of priority is proper.

Respectfully submitted,

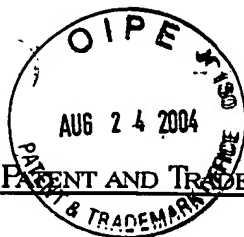
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 8/22/04

By:   
Bruce S. Itchkawitz  
Registration No. 47,677  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

H:\DOCS\BS\BSI-6562.DOC  
082004

SITECH.017CP1CP  
WHN/BSI



UNITED STATES PATENT AND TRADEMARK OFFICE

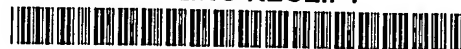
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/771,176	02/03/2004	1755	833	SITECH.017CP1CP	31	53	5

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614

CONFIRMATION NO. 9648

UPDATED FILING RECEIPT



\*OC000000013100439\*

Date Mailed: 06/29/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Chih-Hsing Cheng, Fullerton, CA;  
Dengfeng Xu, Irvine, CA;

**Domestic Priority data as claimed by applicant**

This application is a CIP of 10/215,162 08/07/2002  
which is a CIP of 10/062,748 02/01/2002

*This application claims the benefit of 60/541,155 02/02/04*

**Foreign Applications**

If Required, Foreign Filing License Granted: 04/09/2004

Projected Publication Date: 10/07/2004

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**Title**

Sol-gel-derived halogen-doped glass

**BEST AVAILABLE COPY**

Preliminary Class

501

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



**BEST AVAILABLE COPY**

SITECH:017CP1CP

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) :	NOT YET NAMED
Appl. No. :	10/771,176
Filed :	February 3, 2004
For :	SOL-GEL-DERIVED HALOGEN-DOPED GLASS
Examiner :	Unknown

Group Art Unit: Unknown

**PRELIMINARY AMENDMENT**

Mail Stop Fee/Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Prior to examination on the merits, please amend the above-captioned application as described herein.

**Amendments to the Specification:** Begin on page 2 of this Preliminary Amendment.

**Remarks:** Begin on page 3 of this Preliminary Amendment.

Appl. No. : 10/771,176  
Filed : February 3, 2004

AMENDMENTS TO THE SPECIFICATION

Please amend Paragraph [0001] as indicated below.

Amendments to the specification below are indicated with insertions underlined (e.g., insertion), and deletions struckthrough or in double brackets (e.g., ~~deletion~~ or [[deletion]]):

Claim of Priority

[0001] This application is a continuation-in-part of, and claims priority from, U.S. Patent Application No. 10/215,162, filed August 7, 2002, which is incorporated in its entirety by reference herein and is a continuation-in-part of, and claims priority from, U.S. Patent Application No. 10/062,748, filed February 1, 2002, which is incorporated in its entirety by reference herein. This application also claims the benefit of U.S. Provisional Application No. 60/541,155 (Attorney Ref. ~~SITECH.037PR~~), filed February 2, 2004, which is incorporated in its entirety by reference herein.

Appl. No. : 10/771,176  
Filed : February 3, 2004

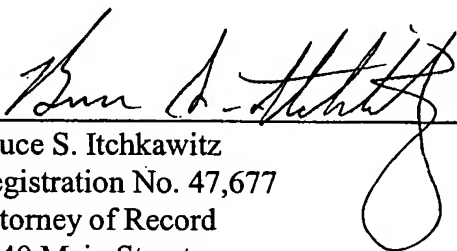
REMARKS

Applicants believe that none of the foregoing amendments have added new matter to the application. All of the claims currently pending in the present application are believed to be allowable over the prior art, and such action is earnestly solicited. If, however, any matters remain which could be resolved by Examiner's Amendment, the Examiner is cordially invited to contact the undersigned by telephone so that any such matters can be promptly resolved.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/2/04

By:   
Bruce S. Itchkawitz  
Registration No. 47,677  
Attorney of Record  
2040 Main Street  
Fourteenth Floor  
Irvine, CA 92614  
(949) 760-0404

H:\DOCS\BS\BSI-5570.DOC  
040204